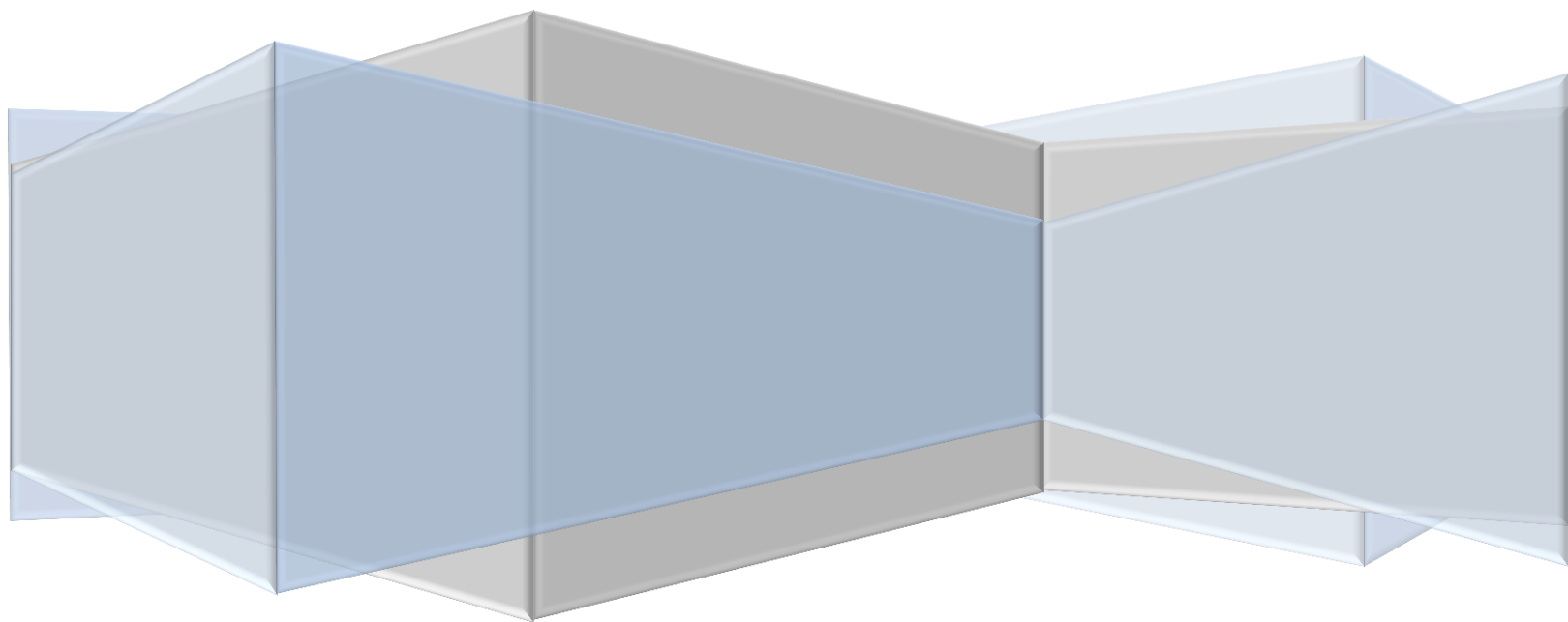


# WHISTLE BLOWING POLICY



## WHISTLE BLOWING POLICY

Trust Securities & Brokerage Limited is fully committed on conducting its business with the stakeholders, including employees, customers/clients and shareholders in a manner which is lawful and responsible manner. The company policy does not tolerate any unlawful and unethical activity and promises to take appropriate action to ensure compliance with law while safeguarding the interest of all stakeholders.

The company has designed a Whistle Blowing Policy which enables its employees, shareholders and business associates to make prompt disclosure of circumstances where it is truly believed that the company's business is being carried out in an inappropriate manner or in violation of the law or company policies and procedures.

The company policy is designed to support company values in line with highest possible standards of ethical and legal business conduct. Trust Securities & Brokerage Limited will ensure that all stakeholders are given an opportunity to raise concerns without fear while safeguarding the identity of the whistle blower. The company will further provide a confidential process for rectifying the malpractice wherever and whenever it occurs.

The Audit Committee of the Company will have the overall responsibility for this policy ensuring that the highest level of investigation is involved in dealing with the said matter. The Board of Directors commits to support such move made by the Committee in the interest of its stakeholders. Furthermore, the Internal Audit Department will be overlooking the complete process.

The method of filing Whistle Blowing Complaint will include various modes, which includes direct email to the Chairman of The Board. The confidentiality and protection of the said person/organization will be handled in complete confidence and the identity of the complainant will not be revealed to the management.

All stakeholders will ensure that the said policy is a success and that they will use it to disclose suspected danger or wrongdoing.